
DCC COMPLIANCE REPORT

Sw Ventures, LLC

California cannabis license [DCC-10003581](#)

Manufacturer - Type 6

REPORT GENERATED

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California Department of
Cannabis Control public records



DCC Compliance Report

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Alias disclosure: this license is also published by DCC as CDPH-10003581 . Both forms reference the same license; this report aggregates records under any form.

CURRENT LICENSE PROFILE

LICENSE: **Active**

LICENSE TYPE	DESIGNATION	TERM
Manufacturer - Type 6	Adult-Use and Medicinal	Annual
ISSUED	EXPIRES	ISSUING AUTHORITY
06/27/2019	06/27/2027	MCSB
STRUCTURE	PREMISES	DBA
Limited Liability Company	—	SW Ventures

Source: DCC License Search · DCC refresh: 05/20/2026 · Phenominal sync: 07/31/2026 11:07 AM

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FINDINGS

3 DCC enforcement actions on record citing **18** regulatory sections across CCR and BPC.

1 product recall on record at the DCC recalls portal.

Most recent activity: enforcement action **06/11/2026** · recall **10/14/2024** .

Enforcement actions

DATE	ACTION	LICENSE TYPE / STATUS	SECTIONS CITED
06/11/2026	Citation and Fine	Manufacturer Annual	CCR §15000.1 General Requirements, Conducting Commercial Cannabis Activity CCR §15047.2 Track and Trace Requirements, Accuracy and Completeness CCR §17214 Product Quality Plan CCR §17218 Inventory Control, Written Inventory Control Plan CCR §17300 Prohibited Products, Products Must be Held at or Below 41 Degrees Fahrenheit BPC §26039.6 Adulterated Cannabis or Cannabis Products
05/27/2026	Citation and Fine	Manufacturer Annual	CCR §15000.1 General Requirements, Conducting Commercial Cannabis Activity CCR §15047.2 Track and Trace Requirements, Accuracy and Completeness CCR §17214 Product Quality Plan CCR §17218 Inventory Control, Written Inventory Control Plan CCR §17300 Prohibited Products, Products Must be Held at or Below 41 Degrees Fahrenheit BPC §26039.6 Adulterated Cannabis or Cannabis Products

DATE	ACTION	LICENSE TYPE / STATUS	SECTIONS CITED
05/07/2026	Citation and Fine	Manufacturer Annual	CCR §15000.1 General Requirements, Conducting Commercial Cannabis Activity CCR §15047.2 Track and Trace Requirements, Accuracy and Completeness CCR §17214 Product Quality Plan CCR §17218 Inventory Control, Written Inventory Control Plan CCR §17300 Prohibited Products, Products Must be Held at or Below 41 Degrees Fahrenheit BPC §26039.6 Adulterated Cannabis or Cannabis Products

DCC final decisions

DCC has not published an adjudicated final decision against this license. The absence of a published decision is not the absence of a resolution — citations resolved by administrative payment, matters still in process, and recall corrective-action plans are not represented on DCC's final-decisions page. Source: cannabis.ca.gov/cannabis-laws/final-decisions.

Product recalls

DATE	TYPE	PRODUCT	CATEGORY	DCC CASE ID
10/14/2024	Voluntary	Project Moonwalk Cannabis Infused THC Sorbet Edible	label_data	—

CA SoS entity record

ENTITY #	ENTITY NAME	TYPE	SOS STANDING
201812410266	SW VENTURES LLC	Limited Liability Company - CA	Good
B20260187102	OSW Ventures, LLC	Limited Liability Company - CA	Good
201812410266	SW VENTURES LLC	Limited Liability Company	Good

Source: California Secretary of State business-entity records, matched by entity name to this licensee.

Liens & secured filings

Secured-interest and lien filings naming this operator as debtor, recorded with the California Secretary of State. The Type column distinguishes a commercial UCC financing statement (a lender or vendor holds a security interest in the debtor's collateral) from a government tax lien (state or federal, for unpaid taxes) or a court judgment lien. The Action column reads each row's current effect — an original filing, or a later amendment (continuation, termination, assignment) that modifies or ends it.

DEBTOR (AS FILED)	TYPE	SECURED PARTY / CLAIMANT	FILED	ACTION	AMOUNT	LAPSES
SW VENTURES LLC	UCC	HELLINGS, STEVEN	08/10/2020	Termination	-	08/10/2025
SW VENTURES LLC	UCC	HELLINGS, STEVEN	08/10/2020	Termination	-	08/10/2025
SW VENTURES LLC	UCC	HELLINGS, STEVEN	08/10/2020	Termination	-	08/10/2025
SW VENTURES LLC	UCC	HELLINGS, STEVEN	08/10/2020	Termination	-	08/10/2025
SW VENTURES LLC	Notice of State Tax Lien	EMPLOYMENT DEVELOPMENT DEPARTMENT	07/07/2020	Erroneous Termination	\$4,252.92	07/07/2030
SW VENTURES LLC	Notice of State Tax Lien	EMPLOYMENT DEVELOPMENT DEPARTMENT	07/07/2020	Erroneous Termination	-	07/07/2030

Source: California Secretary of State lien filings (UCC financing statements, state & federal tax liens, judgment liens), matched by debtor business name. Includes original filings and later amendments.

Legal structure & DBAs

Legal name	Sw Ventures, LLC
Registered agent	Chris Reeder · Individual Agent
Known DBA	SW Ventures DCC
Most recent SI filing	10/24/2025 · Statement of Information filed with CA SoS

Owner-graph & principals

DISCLOSED PRINCIPALS (CA SOS)

NAME	POSITION
WYS Consulting	Manager or Member
Evan Spencer	Chief Executive Officer

Related licensees observed on the same recalls

Other California cannabis licensees that appeared on the same DCC recalls, ranked by shared recall events.

DOWNSTREAM (RETAILERS RECEIVING THE SAME PRODUCT)

RETAILER	LICENSE NUMBER	SHARED RECALLS
Therapeutic Health Collective, Inc.	C10-0000524-LIC	1
WCW ORGANIZATION INC.	C10-0000145-LIC	1
The 10 Spot, Inc.	C10-0000400-LIC	1
2015 HALLADAY WELLNESS, INC.	C10-0000402-LIC	1

Source: California DCC recalls. Two licensees are "related" here when they appear on the same recall notices; the count is the number of shared recall events.

Citation, sources, and disclaimers

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Sources. Data on this report is sourced directly from public publications of California regulators. From the California Department of Cannabis Control (DCC): the Compliance Action Records portal at cannabis.ca.gov/cannabis-laws/compliance-action-records (enforcement actions), the Final Decisions index at cannabis.ca.gov/cannabis-laws/final-decisions (adjudicated outcomes), the Public Recall Portal at recalls.cannabis.ca.gov (recalls), and the DCC License Search API (current license status). From the Cannabis Control Appeals Panel (CCAP): the decisions index at ccap.ca.gov/decisions. From the California Department of Tax and Fee Administration (CDTFA): the Top 500 Sales & Use Tax Delinquencies list at cdtfa.ca.gov/taxes-and-fees/top500. The originating regulator is the authoritative source for all factual claims on this report. Phenominal Consulting indexes these sources and refreshes daily.

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