
DCC COMPLIANCE REPORT

UpNorth Distribution, Inc.

California cannabis license **C11-0000061-LIC**

Commercial - Distributor · Humboldt County, CA

REPORT GENERATED

July 31, 2026
7:06 PM UTC

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California Department of
Cannabis Control public records



DCC Compliance Report

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CURRENT LICENSE PROFILE

LICENSE: **Active**

LICENSE TYPE	DESIGNATION	TERM
Commercial - Distributor	Adult-Use	Annual
ISSUED	EXPIRES	ISSUING AUTHORITY
05/09/2019	05/08/2027	BCC
STRUCTURE	PREMISES	DBA
Corporation	Humboldt County, CA	UpNorth Humboldt

Source: DCC License Search · DCC refresh: 05/20/2026 · Phenominal sync: 07/31/2026 11:03 AM

Principal address CA SoS 5550 W END RD STE 1 | ARCATA, CA 95521, ARCATA, CA 95521

Phone (707) 572-5157

Email Katie@upnorthhumboldt.Com

FINDINGS

1 DCC enforcement action on record citing **3** regulatory sections across CCR and BPC.

1 product recall on record at the DCC recalls portal.

Most recent activity: enforcement action **07/02/2025** · recall **03/25/2024** .

Enforcement actions

DATE	ACTION	LICENSE TYPE / STATUS	SECTIONS CITED
07/02/2025	Citation and Fine	Distribution Annual	CCR §15044 Video Surveillance System Requirements
			CCR §15048.5 Use of Harvest Batch Name and Package Tags
			CCR §15049 Track and Trace Reporting

DCC final decisions

DCC has not published an adjudicated final decision against this license. The absence of a published decision is not the absence of a resolution — citations resolved by administrative payment, matters still in process, and recall corrective-action plans are not represented on DCC's final-decisions page. Source: cannabis.ca.gov/cannabis-laws/final-decisions.

Product recalls

DATE	TYPE	PRODUCT	CATEGORY	DCC CASE ID
03/25/2024	Mandatory	UPNORTH 3.5 Gram, Maui-Wowie Flower	contamination	—

CA SoS entity record

ENTITY #	ENTITY NAME	TYPE	SOS STANDING
3837878	UPNORTH DISTRIBUTION, INC.	Stock Corporation - CA - General	Good

Source: California Secretary of State business-entity records, matched by entity name to this licensee.

Liens & secured filings

Secured-interest and lien filings naming this operator as debtor, recorded with the California Secretary of State. The Type column distinguishes a commercial UCC financing statement (a lender or vendor holds a security interest in the debtor's collateral) from a government tax lien (state or federal, for unpaid taxes) or a court judgment lien. The Action column reads each row's current effect — an original filing, or a later amendment (continuation, termination, assignment) that modifies or ends it.

DEBTOR (AS FILED)	TYPE	SECURED PARTY / CLAIMANT	FILED	ACTION	AMOUNT	LAPSES
UPNORTH DISTRIBUTION, INC.	UCC	PROXIMA INVESTMENTS, LLC	02/18/2025	Lien Financing Stmt	-	02/18/2030
UPNORTH DISTRIBUTION, INC.	UCC	CHICAGO ATLANTIC ADMIN, LLC, AS ADMINISTRATIVE AGENT	08/25/2021	Continuation	-	08/25/2031

Source: California Secretary of State lien filings (UCC financing statements, state & federal tax liens, judgment liens), matched by debtor business name. Includes original filings and later amendments.

Legal structure & DBAs

Legal name	Upnorth Distribution, Inc.
Registered agent	Katherine Hoopes · Individual Agent
Known DBA	UpNorth Humboldt DCC
Most recent SI filing	10/29/2025 · Statement of Information filed with CA SoS

Owner-graph & principals

DISCLOSED PRINCIPALS (CA SOS)

NAME	POSITION
Joseph Jones	Chief Financial Officer
GEOFFREY HOOPES	Chief Executive Officer
Katherine Hoopes	Secretary
Katherine Hoopes	Director
Joseph Jones	Director

Related licensees observed on the same recalls

Other California cannabis licensees that appeared on the same DCC recalls, ranked by shared recall events.

DOWNSTREAM (RETAILERS RECEIVING THE SAME PRODUCT)

RETAILER	LICENSE NUMBER	SHARED RECALLS
THE SACRED STEM, LLC	C9-0000250-LIC	1
ALTRUISM FOR ALL	C9-0000278-LIC	1
CORNERSTONE RESEARCH COLLECTIVE	C10-0000538-LIC	1
TIGRANANDLAVRENT LLC	C10-0001207-LIC	1
BCC SF LLC	C10-0001167-LIC	1
VMK, INC.	C10-0000241-LIC	1

Source: California DCC recalls. Two licensees are "related" here when they appear on the same recall notices; the count is the number of shared recall events.

Trade-press mentions

HEADLINE

PUBLISHER / DATE

UpNorth Humboldt: Bringing Legacy Cannabis To Hall Of Flowers
- herb.co

herb.co · 07/30/2025
<https://herb.co>

Leafly holiday gift guide 2022: Illinois - Leafly

Leafly · 11/29/2022
<https://www.leafly.com>

Citation, sources, and disclaimers

Suggested citation. *Phenominal Consulting, DCC Compliance Report for UpNorth Distribution, Inc. (license C11-0000061-LIC).* Retrieved July 31, 2026 at 7:06 PM from <https://phenominal.io/recalls/licensee/C11-0000061-LIC>.

Sources. Data on this report is sourced directly from public publications of California regulators. From the California Department of Cannabis Control (DCC): the Compliance Action Records portal at cannabis.ca.gov/cannabis-laws/compliance-action-records (enforcement actions), the Final Decisions index at cannabis.ca.gov/cannabis-laws/final-decisions (adjudicated outcomes), the Public Recall Portal at recalls.cannabis.ca.gov (recalls), and the DCC License Search API (current license status). From the Cannabis Control Appeals Panel (CCAP): the decisions index at ccap.ca.gov/decisions. From the California Department of Tax and Fee Administration (CDTFA): the Top 500 Sales & Use Tax Delinquencies list at cdtfa.ca.gov/taxes-and-fees/top500. The originating regulator is the authoritative source for all factual claims on this report. Phenominal Consulting indexes these sources and refreshes daily.

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