
DCC COMPLIANCE REPORT

Broadway Alliance LLC

California cannabis license [C10-0001211-LIC](#)

Commercial - Retailer · Stanislaus County, CA

REPORT GENERATED

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California Department of
Cannabis Control public records



DCC Compliance Report

PHENOMINAL CONSULTING · ALL SYSTEMS NOMINAL

CURRENT LICENSE PROFILE

LICENSE: **Active**

LICENSE TYPE	DESIGNATION	TERM
Commercial - Retailer	Adult-Use	Annual
ISSUED	EXPIRES	ISSUING AUTHORITY
01/03/2023	01/03/2027	BCC
STRUCTURE	PREMISES	DBA
Limited Liability Company	Stanislaus County, CA	Levels

Source: DCC License Search · DCC refresh: 06/25/2026 · Phenominal sync: 07/31/2026 11:03 AM

Licensed premise 121 Phoenix Ave, Modesto, CA 95354

Phone (209) 872-1061

Email Levelsmodesto@gmail.Com

FINDINGS

0 DCC enforcement actions on record .

9 product recalls on record at the DCC recalls portal.

Most recent activity: recall **10/03/2025** .

Enforcement actions

DCC has not published enforcement actions against this license. Source: cannabis.ca.gov/cannabis-laws/compliance-action-records.

DCC final decisions

DCC has not published an adjudicated final decision against this license. The absence of a published decision is not the absence of a resolution — citations resolved by administrative payment, matters still in process, and recall corrective-action plans are not represented on DCC's final-decisions page. Source: cannabis.ca.gov/cannabis-laws/final-decisions.

Product recalls

DATE	TYPE	PRODUCT	CATEGORY	DCC CASE ID
10/03/2025	Voluntary	Zurpz Integrated Vaporizer	label_data	case file on record
08/05/2025	Voluntary	Iced Infused Flower and Infused Pre-Roll	contamination	case file on record
07/15/2025	Voluntary	Rollers Delight Infused Flower and Infused Pre-Roll	contamination	case file on record
07/07/2025	Voluntary	High Power Beverage	label_data	case file on record
04/11/2025	Voluntary	Honey Edible	other	case file on record
04/09/2025	Voluntary	Oakfruitland (OFL) Pre-Roll	other	case file on record
04/04/2025	Voluntary	Awesome Dope Edible and Honey Edible	other	case file on record
09/06/2024	Voluntary	Retro Hits Premium Cannabis Distillate Vape Cartridge	label_data	—
02/15/2024	Mandatory	Nfuzed Air metered-dose inhaler and Nfuzed Cannabis Infused Inhaler Integrated Vaporizer	other	—

CA SoS entity record

ENTITY #	ENTITY NAME	TYPE	SOS STANDING
202034510171	DC MODESTO LLC	Limited Liability Company - CA	Good
202034510171	DC MODESTO LLC	Limited Liability Company	Good

Source: California Secretary of State business-entity records, matched by entity name to this licensee.

Liens & secured filings

Secured-interest and lien filings naming this operator as debtor, recorded with the California Secretary of State. The Type column distinguishes a commercial UCC financing statement (a lender or vendor holds a security interest in the debtor's collateral) from a government tax lien (state or federal, for unpaid taxes) or a court judgment lien. The Action column reads each row's current effect — an original filing, or a later amendment (continuation, termination, assignment) that modifies or ends it.

DEBTOR (AS FILED)	TYPE	SECURED PARTY / CLAIMANT	FILED	ACTION	AMOUNT	LAPSES
LEVELS ENTERPRISES	Notice of State Tax Lien	CALIFORNIA DEPARTMENT OF TAX AND FEE ADMINISTRATION	05/27/2026	Lien Financing Stmt	\$667.26	05/27/2036
DC MODESTO, LLC	Notice of Federal Tax Lien	INTERNAL REVENUE SERVICE	05/12/2025	Termination	-	12/31/9999

Source: California Secretary of State lien filings (UCC financing statements, state & federal tax liens, judgment liens), matched by debtor business name. Includes original filings and later amendments.

Legal structure & DBAs

Legal name	Dc Modesto LLC
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Registered agent	Shan Bal · Individual Agent
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Known DBA	Levels DCC
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Most recent SI filing	12/23/2024 · Statement of Information filed with CA SoS
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Owner-graph & principals

DISCLOSED PRINCIPALS (CA SOS)

NAME	POSITION
Rajwinder K. Bal	Manager or Member
Bhupinder S. Bal	Manager or Member

Related licensees observed on the same recalls

Other California cannabis licensees that appeared on the same DCC recalls, ranked by shared recall events.

DOWNSTREAM (RETAILERS RECEIVING THE SAME PRODUCT)

RETAILER	LICENSE NUMBER	SHARED RECALLS
Cv Merced, Inc.	C10-0001381-LIC	8
R2H HOLDINGS LLC	C12-0000389-LIC	7
HIGH GRADE RETAIL VENTURES, INC.	C10-0000622-LIC	7
HILIFE GROUP MV, LLC	C10-0001094-LIC	7
PHENOS COLLECTIVE, INC.	C10-0000197-LIC	6
Fire House Turlock, Inc.	C10-0000733-LIC	6
Currnt Veterans Wildomar South, LLC	C10-0000859-LIC	6
3 BROS MIDTOWN SANTA CRUZ	C10-0000925-LIC	6
FRESNO CARES	C10-0001065-LIC	6
ALTAMONT WELLNESS LLC	C10-0001121-LIC	6
THE HEALING CENTER NEEDLES	C10-0000032-LIC	5
SB Pharma Holdings Inc.	C10-0001386-LIC	5
High Note Beat Inc	C10-0000917-LIC	5
Green Earth Pharmacy, Inc.	C10-0000416-LIC	5
GREENSTONE BIOMASS RESEARCH P.O.D.	C10-0000574-LIC	5
BLUEFIRE, INC.	C10-0000620-LIC	5
HUMANITY ENCINITAS 4, INC.	C10-0001424-LIC	5
Paradise West Inc	C10-0001457-LIC	5
CV MCHENRY, INC	C10-0001488-LIC	5

RETAILER	LICENSE NUMBER	SHARED RECALLS
SAN DIEGROWN, INC.	C10-0000669-LIC	4

Source: California DCC recalls. Two licensees are "related" here when they appear on the same recall notices; the count is the number of shared recall events.

Citation, sources, and disclaimers

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Sources. Data on this report is sourced directly from public publications of California regulators. From the California Department of Cannabis Control (DCC): the Compliance Action Records portal at cannabis.ca.gov/cannabis-laws/compliance-action-records (enforcement actions), the Final Decisions index at cannabis.ca.gov/cannabis-laws/final-decisions (adjudicated outcomes), the Public Recall Portal at recalls.cannabis.ca.gov (recalls), and the DCC License Search API (current license status). From the Cannabis Control Appeals Panel (CCAP): the decisions index at ccap.ca.gov/decisions. From the California Department of Tax and Fee Administration (CDTFA): the Top 500 Sales & Use Tax Delinquencies list at cdtfa.ca.gov/taxes-and-fees/top500. The originating regulator is the authoritative source for all factual claims on this report. Phenominal Consulting indexes these sources and refreshes daily.

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