
DCC COMPLIANCE REPORT

Broadway Alliance LLC

California cannabis license **C10-0000998-LIC**

Commercial - Retailer · Los Angeles County, CA

REPORT GENERATED

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California Department of
Cannabis Control public records



DCC Compliance Report

PHENOMINAL CONSULTING · ALL SYSTEMS NOMINAL

CURRENT LICENSE PROFILE

LICENSE: **Active**

LICENSE TYPE	DESIGNATION	TERM
Commercial - Retailer	Adult-Use and Medicinal	Annual
ISSUED	EXPIRES	ISSUING AUTHORITY
03/28/2022	03/28/2027	BCC
STRUCTURE	PREMISES	DBA
Corporation	Los Angeles County, CA	Wrk

Source: DCC License Search · DCC refresh: 05/20/2026 · Phenominal sync: 07/31/2026 11:03 AM

Licensed premise 8311 Balboa Blvd, Northridge, CA 91325

Phone (818) 359-4225

Email Wrk.Laretail@gmail.Com

FINDINGS

0 DCC enforcement actions on record .

5 product recalls on record at the DCC recalls portal.

Most recent activity: recall **10/03/2025** .

Enforcement actions

DCC has not published enforcement actions against this license. Source: cannabis.ca.gov/cannabis-laws/compliance-action-records.

DCC final decisions

DCC has not published an adjudicated final decision against this license. The absence of a published decision is not the absence of a resolution — citations resolved by administrative payment, matters still in process, and recall corrective-action plans are not represented on DCC's final-decisions page. Source: cannabis.ca.gov/cannabis-laws/final-decisions.

Product recalls

DATE	TYPE	PRODUCT	CATEGORY	DCC CASE ID
10/03/2025	Voluntary	Zurpz Integrated Vaporizer	label_data	case file on record
05/21/2025	Voluntary	Legion of Dank Delights Edible	label_data	case file on record
02/21/2025	Voluntary	West Coast Cure Diamond Infused Flower	contamination	case file on record
10/08/2024	Voluntary	West Coast Cure Pre-Roll, Integrated Vaporizer, and Vape Cartridge	contamination	—
04/25/2024	Mandatory	C CREME Infused Pre-Roll	mixed	—

CA SoS entity record

ENTITY #	ENTITY NAME	TYPE	SOS STANDING
2850264	CIRCLE OF HOPE ALLIANCE	Stock Corporation - CA - General	Good
2850264	CIRCLE OF HOPE ALLIANCE	Corporation	Good

Source: California Secretary of State business-entity records, matched by entity name to this licensee.

Liens & secured filings

Secured-interest and lien filings naming this operator as debtor, recorded with the California Secretary of State. The Type column distinguishes a commercial UCC financing statement (a lender or vendor holds a security interest in the debtor's collateral) from a government tax lien (state or federal, for unpaid taxes) or a court judgment lien. The Action column reads each row's current effect — an original filing, or a later amendment (continuation, termination, assignment) that modifies or ends it.

DEBTOR (AS FILED)	TYPE	SECURED PARTY / CLAIMANT	FILED	ACTION	AMOUNT	LAPSES
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	11/06/2024	Lien Financing Stmt	\$38,340.64	-
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	EMPLOYMENT DEVELOPMENT DEPARTMENT	11/06/2024	Termination		- 11/06/2034
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	06/28/2024	Lien Financing Stmt	\$795.02	-
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	03/28/2024	Lien Financing Stmt	\$25,866.46	-
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	12/27/2023	Lien Financing Stmt	\$48,249.78	-
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	11/09/2023	Lien Financing Stmt	\$484,942.58	-
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	EMPLOYMENT DEVELOPMENT DEPARTMENT	11/09/2023	Termination		- 11/09/2033
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	09/28/2023	Lien Financing Stmt	\$17,951.60	-

DEBTOR (AS FILED)	TYPE	SECURED PARTY / CLAIMANT	FILED	ACTION	AMOUNT	LAPSES
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	05/25/2023	Lien Financing Stmt	\$25,136.61	-
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	10/17/2012	Lien Financing Stmt	\$1,302.59	-
CIRCLE OF HOPE ALLIANCE	Notice of State Tax Lien	-	05/24/2012	Lien Financing Stmt	\$7,401.53	-

Source: California Secretary of State lien filings (UCC financing statements, state & federal tax liens, judgment liens), matched by debtor business name. Includes original filings and later amendments.

Legal structure & DBAs

Legal name	Circle Of Hope Alliance
Registered agent	KATCHKO, PC · California Registered Corporate Agent (1505)
Known DBA	Wrk DCC
Most recent SI filing	01/27/2026 · Statement of Information filed with CA SoS

Owner-graph & principals

DISCLOSED PRINCIPALS (CA SOS)

NAME	POSITION
ROBERTO LUO WEN	Chief Executive Officer, Secretary, Chief Financial Officer
ROBERTO LUO WEN	Director

Local authorization

Local permit (la_city)

CIRCLE OF HOPE ALLIANCE · #0002112599-0002-7 since 01/18/2023

Real estate ownership / landlord identity -- county recorder dimensions available via per-engagement manual research.

Related licensees observed on the same recalls

Other California cannabis licensees that appeared on the same DCC recalls, ranked by shared recall events.

UPSTREAM (MANUFACTURERS, PACKAGERS, DISTRIBUTORS)

LICENSEE	LICENSE NUMBER	SHARED RECALLS
Shield Management Group, LLC	CDPH-10003818	2

DOWNSTREAM (RETAILERS RECEIVING THE SAME PRODUCT)

RETAILER	LICENSE NUMBER	SHARED RECALLS
ROYAL APOTHECARY L.L.C.	C10-0000596-LIC	3
RD SAN BERNARDINO LLC	C10-0001021-LIC	3
HOLISTIC ALTERNATIVE HERBAL MEDICINE	C10-0000566-LIC	2
Ventura Oaks Ventures LLC	C10-0000832-LIC	2
PALLADIUM ALLIANCE, LLC	C10-0000867-LIC	2
MAINSTAY GOODS INC.	C10-0001127-LIC	2
TREE HAUS COMPANY LLC	C10-0001228-LIC	2
ASHE POMONA LLC	C12-0000504-LIC	2
SCSA GROUP, INC.	C10-0000170-LIC	2
-	C10-0000076-LIC	2
-	C10-0000135-LIC	2
-	C10-0000165-LIC	2
-	C10-0000185-LIC	2
-	C10-0000214-LIC	2
-	C10-0000252-LIC	2
-	C10-0000317-LIC	2

RETAILER	LICENSE NUMBER	SHARED RECALLS
-	C10-0000320-LIC	2
-	C10-0000322-LIC	2
-	C10-0000335-LIC	2
-	C10-0000400-LIC	2

Source: California DCC recalls. Two licensees are "related" here when they appear on the same recall notices; the count is the number of shared recall events.

Citation, sources, and disclaimers

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Sources. Data on this report is sourced directly from public publications of California regulators. From the California Department of Cannabis Control (DCC): the Compliance Action Records portal at cannabis.ca.gov/cannabis-laws/compliance-action-records (enforcement actions), the Final Decisions index at cannabis.ca.gov/cannabis-laws/final-decisions (adjudicated outcomes), the Public Recall Portal at recalls.cannabis.ca.gov (recalls), and the DCC License Search API (current license status). From the Cannabis Control Appeals Panel (CCAP): the decisions index at ccap.ca.gov/decisions. From the California Department of Tax and Fee Administration (CDTFA): the Top 500 Sales & Use Tax Delinquencies list at cdtfa.ca.gov/taxes-and-fees/top500. The originating regulator is the authoritative source for all factual claims on this report. Phenominal Consulting indexes these sources and refreshes daily.

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